

## Only domestic (India-based) manufacturers can participate

This is a Request for Quote (RFQ) from the Indian Institute of Science (IISc), Bangalore, for the supply and installation of a **UHV sputtering deposition system** at the Materials Engineering department, IISc Bangalore. The bidder must comply with OM no. P-45021/2/2017-PP(BE-II) from the government of India, dated 16<sup>th</sup> September 2020. The quote should come only from the Indian Original Equipment Manufacturer (OEM) or their Indian authorized distributor.

Statutory condition: The committee can cancel the tender at any time.

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# Section 1 - Bid Schedule

1	Tender No	
2	Tender Date	30 <sup>th</sup> March 2022
3	Item Description	Supply and installation of a <b>UHV sputtering deposition system</b>
4	Tender Type	Two bid system (i) Technical Bid (Part A) (ii) Commercial Bid (Part B)
5	Place of tender submission	Chairperson Office Materials Engineering Department Indian Institute of Science, Bangalore 560012
6	Last Date & Time for submission of tender	13 <sup>th</sup> April 2022
7	For further clarification	Dr. Bhagwati Prasad Room No: C201, Materials Engineering Department Indian Institute of Science, Bangalore 560012 Email: bpjoshi@iisc.ac.in Phone: +91 80 22932679

# Section 2 – Eligibility Criteria

Prequalification criteria:

1. The Bidder's firm should have existence for a minimum of 3 years. (Enclose Company Registration Certificate)
2. The Bidder should belong to either class 1 or class 2 supplier distinguished by their "local content" as defined by recent edits to GFR. They should mention clearly which class they belong to in the cover letter.
  - a) Class 1 supplier: Goods and services should have local content of equal to or more than 50%.
  - b) Class 2 supplier: Goods and services should have local content of equal to or more than 20 % and less than 50%.
3. Purchase preference as defined by the recent edits to GFR (within the "margin of purchase preference") will be given to Class-1 supplier.
4. MSME can seek exemption to some qualification criteria. IISc follows GFR2017 for such details
5. The bidder should sign and submit the declaration for Acceptance of Terms and Conditions as per -Annexure 4.
6. The Bidder must not be blacklisted/banned/suspended or have a record of any service-related dispute with any organization in India or elsewhere. A declaration to this effect has to be given as per Annexure 3.

# Section 3 – Terms and Conditions

## A) Submission of Tender:

1. All documentations in the tender should be in English.
2. Tender should be submitted in two envelopes (two bid system).
  - a. Technical Bid (Part-A) – Technical bid consisting of all technical details and check list for conformance to technical specifications.

The technical proposal should contain a technical compliance table with 5 columns.

- i. The first column must list the technical requirements, in the order that they are given in the technical requirement below.
  - ii. The second column should provide specifications of the instrument against the requirement. Please provide quantitative responses wherever possible.
  - iii. The third column should describe your compliance with a “Yes” or “No” only. Ensure that the entries in column 2 and column 3 are consistent.
  - iv. The fourth column should state the reasons/explanations/context for deviations, if any.
  - v. The fifth column can contain additional remarks from the OEM. You can use this opportunity to highlight technical features, qualify response of previous columns, or provide additional details, compare your solution with that of your competitors or provide details as requested in the technical requirements table below.
- b. Commercial Bid (Part-B) – Indicating item wise price for the items mentioned in the technical bid, **as per the format of quotation provided in tender**, and other commercial terms and conditions.

3. The technical bid and price bid should each be placed in separate sealed covers, superscripting on both the envelopes the tender no. and the due date. Both these sealed covers are to be placed in a bigger cover which should also be sealed and duly superscripted with the Tender No, Tender Description & Due Date.
4. The SEALED COVER superscripting tender number / due date & should reach Chairperson Office, Materials Engineering Department, Indian Institute of Science, Bangalore – 560012, India on or before due date mentioned in the tender notice. In case due date happens to be holiday the tender will be accepted and opened on the next working day. If the quotation cover is not sealed, it will be rejected.
5. All queries are to be addressed to the person identified in “Section 1 – Bid Schedule” of the tender notice.
6. The items are required for research purposes, and IISc is a DSIR registered institution, hence eligible for GST exemption (i.e. GST @ 5%). While submitting the price quote, this

point must be taken care. For getting GST exemption certificate, successful bidders must submit, a formal request together with Invoice copy and Purchase order copy.

7. GST/other taxes, levies etc., are to be indicated separately. The BIDDER should mention GST Registration and PAN in the tender document (Indian Bidders only).
8. If price is not quoted in Commercial Bid as per the format provided in tender document the bid is liable to be rejected.
9. The Institute reserves the right to accept or reject any bid and to annul the bidding process and reject all bids at any time prior to the award of contract, without there by incurring any liability to the affected bidder or bidders or any obligation to inform the affected bidder or bidders.
10. Incomplete bids will be summarily rejected.

## B) Cancellation of Tender:

Notwithstanding anything specified in this tender document, IISc Bangalore, in its sole discretion, unconditionally and without having to assign any reason, reserves the rights:

- a. To accept OR reject lowest tender or any other tender or all the tenders.
- b. To accept any tender in full or in part.
- c. To reject the tender, offer not confirming to the tender terms.

## C) Validity of the Offer:

The offer shall be valid 90 Days from the date of opening of the commercial bid.

## D) Evaluation of Offer:

1. The technical bid (Part A) will be opened first and evaluated.
2. Bidders meeting the required eligibility criteria as stated in Section 2 of this document shall only be considered for Commercial Bid (Part B) opening. Further, agencies not furnishing the documentary evidence as required will not be considered.
3. Pre- qualification of the bidders shall not imply final acceptance of the Commercial Bid. The agency may be rejected at any point during technical evaluation or during commercial evaluation. The decision in regard to acceptance and / or rejection of any offer in part or full shall be the sole discretion of IISc Bangalore, and decision in this regard shall be binding on the bidders.
4. The award of contract will be subject to acceptance of the terms and conditions stated in this tender.
5. Any offer which deviates from the vital conditions (as illustrated below) of the tender is liable to be rejected:

- a. Non-submission of complete offers.
  - b. Receipt of bids after due date and time and or by email / fax (unless specified otherwise).
  - c. Receipt of bids in open conditions.
6. In case any BIDDER is silent on any clauses mentioned in these tender documents, IISc Bangalore shall construe that the BIDDER had accepted the clauses as of the tender and no further claim will be entertained.
  7. No revision in the terms and conditions quoted in the offer will be entertained after the last date and time fixed for receipt of tenders.
  8. Lowest bid will be calculated based on the total price of all items tendered for Basic equipment along with accessories selected for installation, operation, preprocessing and post processing, optional items, recommended spares, warranty, annual maintenance contract.

#### E) Pre-requisites:

The bidder will provide the prerequisite installation requirement of the equipment along with the technical bid.

#### F) Warranty:

The complete system is to be under warranty period of minimum 3 years (year wise breakup value should be shown in the commercial bid) including free supply of consumables, spare parts and data analysis software from the date of functional installation. If the instrument is found to be defective, it has to be replaced or rectified at the cost of the bidder within 30 days from the date of receipt of written communications from IISc, Bangalore. If there is any delay in replacement or rectification, the warranty period should be correspondingly extended.

#### G) Annual Maintenance Contract:

An annual maintenance contract for a period of atleast 2 years post warranty should be provided on completion of warranty period. The AMC costs will not be considered towards classifying the domestic nature (class 1 or class 2) of the vendor (see eligibility criteria in section 2).

#### H) Purchase Order:

1. The order will be placed on the bidder whose bid is accepted by IISc based on the terms & conditions mentioned in the tender document.
2. The quantity of the items in tender is only indicative. IISc, Bangalore reserves the right to increase /decrease the quantity of the items depending on the requirement.

3. If the quality of the product and service provided is not found satisfactory, IISc, Bangalore reserves the right to cancel or amend the contract.

### I) Delivery, Installation and Training:

The bidder shall provide the lead time to delivery, installation and made functional at IISc, Bangalore from the date of receipt of purchase order. The system should be delivered, installed and made functional within 90 days from the date of receipt of purchase order. The supply of the items will be considered as effected only on satisfactory installation and inspection of the system and inspection of all the items and features/capabilities tested by the IISc, Bangalore. After successful installation and inspection, the date of taking over of entire system by the IISc, Bangalore shall be taken as the start of the warranty period. No partial shipment is allowed.

The bidder should also arrange for technical training to the local facility technologists and users.

### J) Payment Terms:

100% payments (except AMC) will be released after completion delivery and satisfactory installation subject to TDS as per rules. AMC cost (if ordered), after completion of warranty period) will be released on half-yearly basis at the end of each six months subject to satisfactory services. The AMC will be comprehensive. Price basis must be on FOR-IISc Bangalore basis only.. As per GFR no advance payment can be made to domestic vendors, unless an equal amount of bank guarentee is provided.

### K) Statutory Variation:

Any statutory increase in the taxes and duties subsequent to bidder's offer, if it takes place within the original contractual delivery date, will be borne by IISc, Bangalore subject to the claim being supported by documentary evidence. However, if any decrease takes place the advantage will have to be passed on to IISc, Bangalore.

### L) Disputes and Jurisdiction:

Any legal disputes arising out of any breach of contract pertaining to this tender shall be settled in the court of competent jurisdiction located within the city of Bangalore, India.

### M) General:

1. All amendments, time extension, clarifications etc., within the period of submission of the tender will be communicated electronically. No extension in the bid due date/time shall be considered on account of delay in receipt of any document(s) by mail.
2. The bidder may furnish any additional information, which is necessary to establish capabilities to successfully complete the envisaged work. It is however, advised not to furnish superfluous information.
3. The bidder may visit the installation site before submission of tender, with prior intimation.

4. Any information furnished by the bidder found to be incorrect, either immediately or at a later date, would render the bidder liable to be debarred from tendering/taking up of work in IISc, Bangalore.

## Section 4 – Technical Specifications

### A. Technical Specifications of the UHV Sputtering system

#### Technical details

SI No	Description	Qty
I	<p>UHV Sputtering System with loadlock comprising of</p> <p>a) Two UHV Chambers (SS 304) <b>[or SS316]</b> and fabricated to create a vacuum of <math>8 \times 10^{-9}</math> torr or better.</p> <p>Ports for 6 nos 2" dia sputter guns (200W rating UHV compatible) in Chamber-1 and 4 nos 2" dia sputter guns (200W rating UHV compatible) in Chamber-2 in confocal arrangement, loadlock, 3 shuttered ports for viewing, sample, gas inlet, vent, RGA, pumps and gauges etc. Blanked unused ports. Provision for N2 gas vent.</p> <p>b) Chamber can be baked to 170 degrees in future with baking tapes etc. (baking tapes, power supply etc not included in quote but given as option.)</p> <p>c) Sample loading arrangement (loadlock) with magnetic transfer arm includes isolation gate valve (CF 63), and hardware to connect to backing pump of main chamber, one pirani gauge TPR 270/280 (Pfeiffer make). Provision for upgrade to Turbo pump. Once Turbo is connected the vacuum can reach <math>1 \times 10^{-6}</math> mbar in loadlock. During upgrade high vacuum gauge also need to be used. Port for Turbo and High vacuum gauge to be provided.</p> <p>d) Heating arrangement with PID programmable controller in loadlock upto 300C.</p> <p>e) Turbo pump (600 lit/sec) with dry backing pump (10 m<sup>3</sup>/hr). Turbo molecular pump (Hipace 700 from Pfeiffer) with Hiscroll 12 dry pump, IKR 251 Cold cathode gauge. Pirani gauge TPR 270 and Capacitance gauge (<b>optional along with the ports for future up-gradation</b>) 1mbar to <math>10^{-4}</math> mbar with display 2 sets (one for each chamber). The price for the capacitance gauges should be quoted in price bid in options.</p> <p>d) Substrate holding platform for Chamber-2: Sample holder (50mmX50mm max) for 800C or higher with PID controller and motorized rotation (up to 10RPM), in and out movement in-situ (manual and bellow sealed) .Oxygen compatible. Sample holder fitted with two permanent</p>	1 no



magnet based assembly (field of strength 200Oe in plane and perpendicular to sample) with a gap of 5cm for in-situ field during growth when heater is not used.

Note: magnets have to be installed by opening chamber as magnets cannot survive high heat when deposition is done at high temperatures. Separate shutter for substrate (pneumatic). RF bias capability for sample holder with N type female connector for connection

e) 4 nos of motorized gate valves (two for main chambers to Turbo (CF150) and two for the chamber to load lock (CF 63). Stainless steel, Shaft bellow sealed, and all O rings Viton.

f) Sputter guns for 2" dia targets Qty 10 nos with Pneumatic shutters. These guns are flange mounted with inbuilt shutter (magnetically coupled). Suitable for magnetic and non-magnetic targets. NdBFe magnets for high sputter rates, easy to use, indirect water cooling of magnets. Target clamp. Cross contamination shield. The gun-to-target distance should vary between 75 mm to 125 mm with an optimal distance of around 100 mm.

g) Manual change over switch to connect 1 RF supply to 2 guns one by one and 2 DC to 6 guns one by one with cables.

h) DC supply 500W (ADL Germany make or Prevac) Qty 2 no (as per tender specs)

i) RF power supply 300W with auto matching network and cables (Seren USA make or RFVII USA or Barthel Germany) Qty 1 no

j) Digital Mass flow controller (Alicat USA make) with 30 gases pre-loaded (0-200SCCM flow range) Qty 3 nos

k) Single phase 10KVA UPS with 30 min back up capability (Optional). The price for this should be quoted in price bid in options.

l) Frame to mount the system and all components.

m) Accessories:

i) Tool kit ( to service the system), ii) 5 sets of copper gaskets and 2 sets of Viton gaskets

n) safety interlock like water flow switch to prevent sputtering without water flow.

o) Installation and training will be provided. Base pressure of  $5 \times 10^{-8}$  mbar or lower with baking and substantial pumping would be required to deposit the films. Please note that  $8 \times 10^{-9}$  mbar in UHV chambers should be achieved once the system is upgraded with turbo for load lock, baking arrangement for chambers and changing all view ports to Glass to metal view ports.

- 1) Drawings need to be sent for approval before the start of manufacturing
- 2) Baking tapes with power supply need to be quoted separately in options
- 3) Warranty and AMC after warranty: The quoted price includes 1 year warranty from the date of installation. AMC (Excluding parts) rates for 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> year after warranty quoted separately.
- 5) It needs to be declared that all parts quoted are of latest technology and there will support the system after warranty (on payment basis) with required spares etc.
- 6) Substrate holder with LN2 cooling in Ch-1 (5-6 DC guns): Temperature sensor with display, a small heater to bring it to room temperature with display. The price for this should be quoted in price bid in options.

<b>Other Requirements:</b>	
The system should have a user-friendly design for easy serviceability and troubleshooting. Components if not available under the consumables should be easily accessible for maintenance as and when needed.	
The system should incorporate the necessary safety interlock to permit the safe operation of the equipment.	
All utilities for the installation of the system should be clearly stated.	
The supplier and/or their representative should undertake to install and commission the system at the purchaser's laboratory in the event of order and demonstrate satisfactory performance. The installation and commissioning should be provided by the supplier or their representative. The supplier or their representative should have well-proven service capability on a similar system. Free delivery and installation and demonstration.	
All the technical literature/catalogs of various sub-systems in English must accompany the quotation. In the event of an order, the manufacturer should undertake to supply all the documents including a complete system description, operation and service manuals, and full description of hardware and software used. A hard copy of all manuals including operations, maintenance, and service manual along with a drawing of main equipment and all its accessories should be provided.	
The company should have a minimum of 3 nos. of supply references for the <b>UHV sputtering systems</b> installed in India in government-funded institutions. The detailed Indian User reference list should be provided with contact details etc. The Company should provide 3 satisfactory certificates from the users in India that have 2 or more years of usage experience. Please note that the successful installation certificate is not considered a satisfactory certificate. The past service and satisfactory feedback of the manufacturer shall be one of the main criteria for decision making.	
The company should have Trained Service engineers to provide after-sales support. Names of the trained engineers should be included with the quotation.	

## B. Training and demonstration

Training on the usage of the machine (hardware and software) must be demonstrated by the successful bidder at the bidder's cost to the end-users at IISc, Bangalore.

# Section 5- Technical Bid

The technical bid should furnish all requirements of the tender along with all annexure in this section and submitted to

The Chairperson,  
Attn: Dr. Bhagwati Prasad  
Materials Engineering Department  
Indian Institute of Science  
Bangalore – 560012, India

## Annexure-1:

### Details of the Bidder

The bidder must provide the following mandatory information & attach supporting documents wherever mentioned:

### Details of the Bidder

Sl. No	Items	Details
1.	Name of the Bidder	
2.	Nature of Bidder (Attach attested copy of Certificate of Incorporation/ Partnership Deed)	
3.	Registration No/ Trade License, (attach attested copy)	
4.	Registered Office Address	
5.	Address for communication	
6.	Contact person- Name and Designation	
7.	Telephone No	
8.	Email ID	
9.	Website	
10.	PAN No. (attach copy)	
11.	GST No. (attach copy)	

Signature of the Bidder

Name  
Designation, Seal

Date:

## Annexure-2:

Declaration regarding experience

To,  
The Chairperson,  
Attn: Dr. Bhagwati Prasad  
Materials Engineering Department  
Indian Institute of Science,  
Bangalore – 560012, India

Ref: Tender No: XXXXXXXXXX  
Dated: XXXXX

Supply and installation of a UHV sputtering deposition system at Materials Engineering Department, IISc Bangalore.

Sir,

I've carefully gone through the Terms & Conditions contained in the above referred tender. I hereby declare that my company / firm has ---- years of experience in supplying and installing Confocal systems.

(Signature of the Bidder)  
Printed Name  
Designation, Seal Date:

## Annexure-3:

Declaration regarding track record

To,  
The Chairperson,  
Attn: Dr. Bhagwati Prasad  
Materials Engineering Department  
Indian Institute of Science,  
Bangalore – 560012, India

Ref: Tender No: XXXXXXXX

Dated: XXXXX

Supply and installation of a UHV sputtering deposition system at Materials Engineering Department, IISc Bangalore.

Sir,  
I've carefully gone through the Terms & Conditions contained in the above referred tender. I hereby declare that my company/ firm is not currently debarred / blacklisted by any Government / Semi Government organizations / institutions in India or abroad. I further certify that I'm competent officer in my company / firm to make this declaration.

Or

I declare the following

Sl.No	Country in which the company is Debarred /blacklisted / case is Pending	Blacklisted / debarred by Government / Semi Government/Organizations /Institutions	Reason	Since when and for how long

(NOTE: In case the company / firm was blacklisted previously, please provide the details regarding period for which the company / firm was blacklisted and the reason/s for the same).

Yours faithfully  
(Signature of the Bidder)

Name  
Designation, Seal

Date:

## Annexure – 4:

Declaration for acceptance of terms and conditions

To,  
The Chairperson,  
Attn: Dr. Bhagwati Prasad  
Materials Engineering Department  
Indian Institute of Science,  
Bangalore – 560012, India

Ref: Tender No: XXXXXX  
Dated: XXXX

Supply and installation of a UHV sputtering deposition system at Materials Engineering Department, IISc Bangalore.

Sir,

I've carefully gone through the Terms & Conditions as mentioned in the above referred tender document. I declare that all the provisions of this tender document are acceptable to my company. I further certify that I'm an authorized signatory of my company and am, therefore, competent to make this declaration.

Yours faithfully,

(Signature of the Bidder)  
Name  
Designation, Seal

Date:

## Annexure – 5:

Details of items quoted:

- a. Company Name
- b. Product Name
- c. Part / Catalogue number
- d. Product description / main features
- e. Detailed technical specifications
- f. Remarks

Instructions to bidders:

1. Bidder should provide technical specifications of the quoted product/s in detail.
2. Bidder should attach product brochures along with technical bid.
3. Bidders should clearly indicate compliance or non-compliance of the technical specifications provided in the tender document.

## Section 6 – Commercial Bid

**The commercial bid should be furnished with all requirements of the tender with supporting documents as mentioned under:**

S.No	Description	Cat. Number	Quantity	Unit Price	Sub total
1.	Essential items noted in the technical specification				
1.a	... (details of essential items)				
1.b	...				
2.	Optional items noted in the technical specification				
2.a	... (details of essential items)				
2.b	...				
3.	Accessories for operation and installation				
4.	All Consumables, spares and software to be supplied locally				
5.	Warranty (3 years)				
6.	AMC 2 years beyond warranty				
7.	Cost of Insurance and Airfreight				
8.	CIP/CIF IISc, Bengaluru				

Any additional items

S.No	Description	Cat. Number	Quantity	Unit Price	Sub total



Addressed to

The Chairperson,  
Attn: Dr. Bhagwati Prasad  
Materials Engineering Department  
Indian Institute of Science,  
Bangalore – 560012, India

# Section 7 – Checklist

**(This should be enclosed with technical bid- Part A)**

**The following items must be checked before the Bid is submitted:**

## 1. Sealed Envelope “A”: Technical Bid

- 1. Section 5- Technical Bid (each page signed by the authorized signatory and sealed) with the below annexures:**
  - a. Annexure 1: Bidders details**
  - b. Annexure 2: Declaration regarding experience**
  - c. Annexure 3: Declaration regarding clean track record**
  - d. Annexure 4: Declaration for acceptance of terms and conditions**
  - e. Annexure 5: Details of items quoted**
- 2. Copy of this tender document duly signed by the authorized signatory on every page and sealed.**

## 2. Sealed Envelop “B”: Commercial Bid

**Section 6: Commercial Bid**

**Your quotation must be submitted in two envelopes: Technical Bid (Envelope A) and Commercial Bid (Envelope B) super scribing on both the envelopes with Tender No. and due date and both of these in sealed covers and put in a bigger cover which should also be sealed and duly super scribed with Tender No., Tender description & Due Date.**